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TRANSMITTAL FORM

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NOV 22 1999

JC50 39

Total Number of Pages in This Submission

6

| | |
|--|--------------------|
| Application Number | 09/195,105 |
| Filing Date | 11/18/98 |
| First Named Inventor | Francisco, et. al. |
| Group Art Unit | |
| Examiner Name | |
| Total Number of Pages in This Submission | 6 |
| Attorney Docket Number | 2434.114 |

ENCLOSURES (check all that apply)

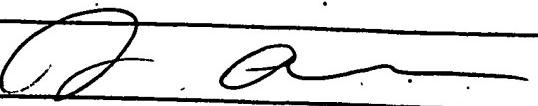
| | | |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Amendment / Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Checklist and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> To Convert a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Additional Enclosure(s) (please identify below): |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Terminal Disclaimer | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| | Remarks | |

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TC 2703 MAIL ROOM

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------------|--|
| Firm or Individual name | Joseph A. Rhoa, Esq. Liniak, Berenato, Longacre & White, LLC |
| Signature |  |
| Date | 11/18/99 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

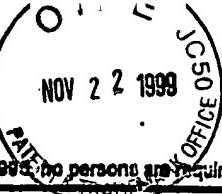
Nov. 18, 1999

| | | | |
|-----------------------|---|------|----------|
| Typed or printed name | Joseph A. Rhoa, Esq. | Date | 11/18/99 |
| Signature |  | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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PTO/SB/17 (2/98)

Approved for use through 9/30/2000. OMB 0851-0032
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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/08-12.
See 37 C.F.R. §§ 1.27 and 1.28.TOTAL AMOUNT OF PAYMENT (\$)
0**Complete If Known**

| | |
|----------------------|--------------------|
| Application Number | 09/195,105 |
| Filing Date | November 18, 1998 |
| First Named Inventor | Francisco, et. al. |
| Examiner Name | |
| Group / Art Unit | |
| Attorney Docket No. | 2434.114 |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number
50-0548

Deposit Account Name
Liniak, Berenato, et. al.

Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 Charge the Issue Fee Set In 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION**1. BASIC FILING FEE**

| Large Entity | Small Entity | Fee Code (§) | Fee Code (§) | Fee Description | Fee Paid |
|--------------------------|--------------|------------------------|--------------|-----------------|----------|
| 101 780 | 201 385 | Utility filing fee | | | |
| 108 330 | 208 185 | Design filing fee | | | |
| 107 540 | 207 270 | Plant filing fee | | | |
| 108 790 | 208 385 | Reissue filing fee | | | |
| 114 150 | 214 75 | Provisional filing fee | | | |
| SUBTOTAL (1) (\$) | | | | | |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 13 | -20** = 0 | X 9.00 | = 0 |
| Independent Claims | 2 - 3** = 0 | X 39.00 | = 0 |
| Multiple Dependent | | | |

** or number previously paid, if greater; For Reissues, see below

| Large Entity | Small Entity | Fee Code (§) | Fee Code (§) | Fee Description |
|--------------------------|--------------|--|--------------|-----------------|
| 103 22 | 203 11 | Claims in excess of 20 | | |
| 102 82 | 202 41 | Independent claims in excess of 3 | | |
| 104 270 | 204 135 | Multiple dependent claim, if not paid | | |
| 109 82 | 209 41 | ** Reissue independent claims over original patent | | |
| 110 22 | 210 11 | ** Reissue claims in excess of 20 and over original patent | | |
| SUBTOTAL (2) (\$) | | | | |

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code (§) | Fee Code (§) | Fee Description | Fee Paid |
|---------------------------|--------------|--|--------------|-----------------|----------|
| 105 130 | 205 65 | Surcharge - late filing fee or oath | | | |
| 127 80 | 227 25 | Surcharge - late provisional filing fee or cover sheet | | | |
| 138 130 | 138 130 | Non-English specification | | | |
| 147 2,520 | 147 2,520 | For filing a request for reexamination | | | |
| 112 920* | 112 920* | Requesting publication of SIR prior to Examiner action | | | |
| 113 1,840* | 113 1,840* | Requesting publication of SIR after Examiner action | | | |
| 115 110 | 215 55 | Extension for reply within first month | | | |
| 116 400 | 216 200 | Extension for reply within second month | | | |
| 117 850 | 217 475 | Extension for reply within third month | | | |
| 118 1,810 | 218 755 | Extension for reply within fourth month | | | |
| 128 2,080 | 228 1,030 | Extension for reply within fifth month | | | |
| 119 310 | 219 155 | Notice of Appeal | | | |
| 120 310 | 220 155 | Filing a brief in support of an appeal | | | |
| 121 270 | 221 135 | Request for oral hearing | | | |
| 138 1,810 | 138 1,810 | Petition to institute a public use proceeding | | | |
| 140 110 | 240 65 | Petition to revive - unavoidable | | | |
| 141 1,320 | 241 660 | Petition to revive - unintentional | | | |
| 142 1,320 | 242 860 | Utility issue fee (or reissue) | | | |
| 143 450 | 243 225 | Design issue fee | | | |
| 144 870 | 244 335 | Plant issue fee | | | |
| 122 130 | 122 130 | Petitions to the Commissioner | | | |
| 123 50 | 123 50 | Petitions related to provisional applications | | | |
| 128 240 | 128 240 | Submission of Information Disclosure Stmt | | | |
| 581 40 | 581 40 | Recording each patent assignment per property (times number of properties) | | | |
| 148 790 | 248 385 | Filing a submission after final rejection (37 CFR 1.128(a)) | | | |
| 149 790 | 249 385 | For each additional invention to be examined (37 CFR 1.128(b)) | | | |
| Other fee (specify) _____ | | | | | |
| Other fee (specify) _____ | | | | | |

* Reduced by Basic Filing Fee Paid

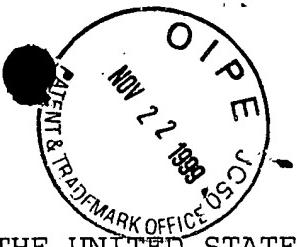
SUBTOTAL (3) (\$)**SUBMITTED BY**

Typed or Printed Name
Joseph A. Rhoa, Esq.

Complete (if applicable)

Reg. Number
37,515Signature
Date
11/18/99Deposit Account User ID

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

PAUL A. FRANCISCO, et. al.

Serial No.: 09/195,105

Filed: November 18, 1998

For: POINT OF SALE TAX
REPORTING AND AUTOMATIC
COLLECTION SYSTEM WITH
TAX REGISTER

Commissioner of Patents
and Trademarks
Washington, D.C. 20231

: Group Art Unit:

: Examiner:

: Atty. Dkt. No.: 2434.114

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:
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first class mail in an envelope addressed to:
Commissioner of Patents and Trademarks, Washington,

D.C. 20231, on 11-18-99

J. A. Rho
Joseph A. Rho

Reg. 37,515

Date

SUPPLEMENTAL PRELIMINARY AMENDMENT

Sir:

IN THE CLAIMS:

Please add the following new claims:

- 1 --9. A point of sale tax reporting system in an environment
2 where a consumer purchases goods over a digital data network, the
3 point of sale tax reporting system comprising:
4 the digital data network for enabling a consumer to
5 purchase goods over the digital data network from a retailer;
6 at least a first computer or register located at a
7 merchant or retailer location, said first computer or register
8 for processing consumer sales transaction data at the retailer

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